

# From Predictions to Sustainability: Rethinking AutoML Priorities

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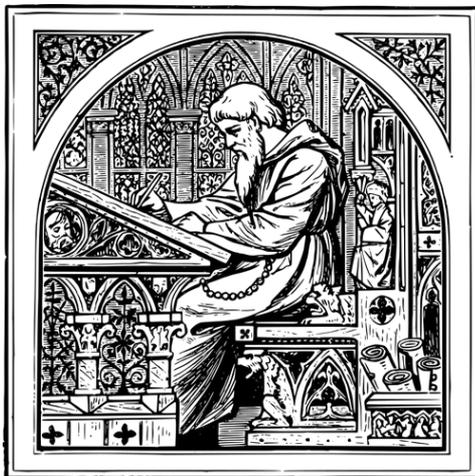


Tanja Tornede

\* and many more contributing to that vision

# The Need for AutoML!?

# Rise of Literacy



- Only priests were able to read and write
- People believed that they don't need to read and write
- They went to the holy buildings



Photo by [Anna Hunko](#) on [Unsplash](#)

- Today, everyone can read and write
- No one doubts the benefits of it
- ⇒ **Democratization of literacy**

Inspired by [Andrew Ng](#)

# Rise of AI Literacy?



Photo by [Max Duzij](#) on [Unsplash](#)

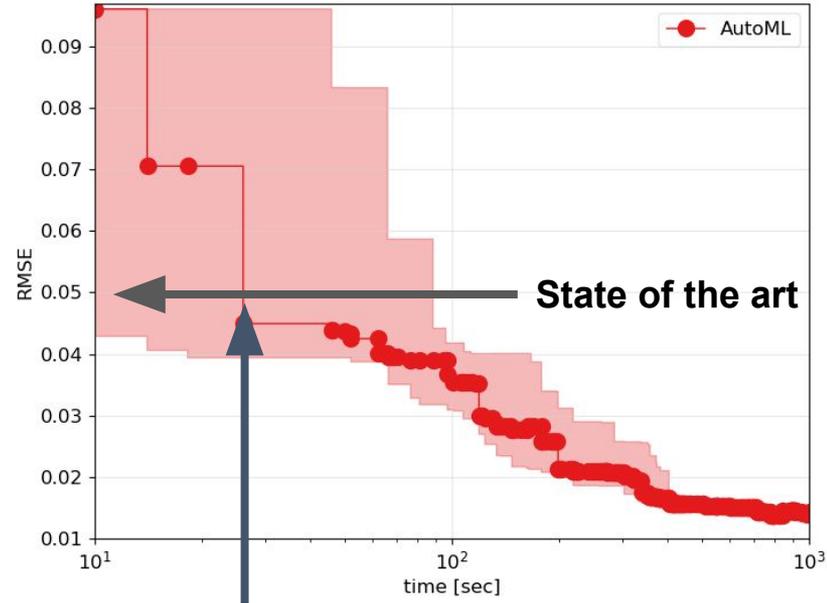
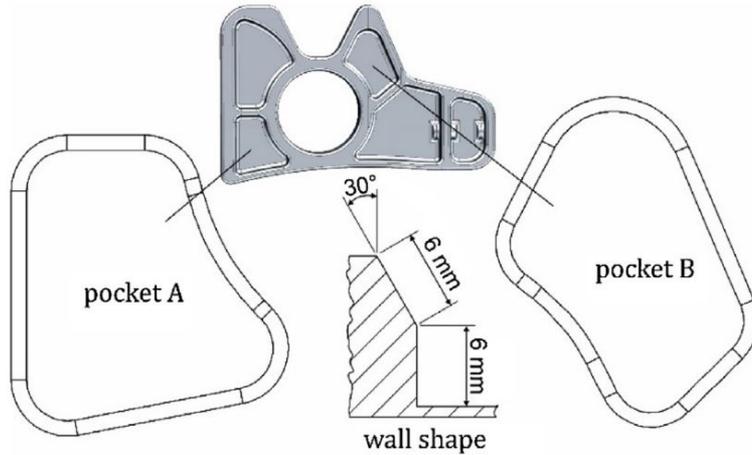
- Only highly educated people can program new AI applications
- Power only with the large IT companies



- In an age of limited resources, the need for efficient use gets more important
- **AutoML contributes to AI literacy!**

[\[See also my TEDx Talk\]](#)

# Shape Error Prediction in Milling Processes



**Better than state of the art  
in less than 30sec!**

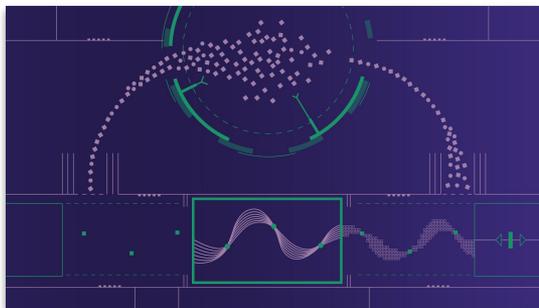
# From ML Alchemy to Science



“You can teach an old dog new tricks” [[Ruffinelli et al. 2019](#)]

→ Hyperparameter tuning might not be the only required solution, but without it, it will also be hard.

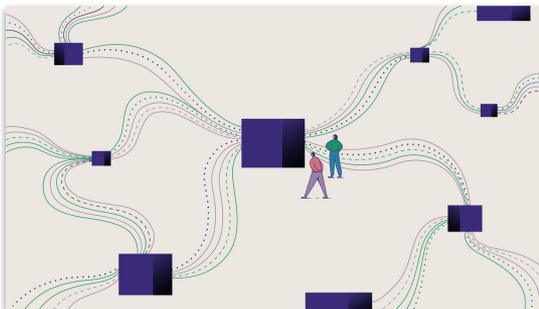
# Tasks Automated by AutoML



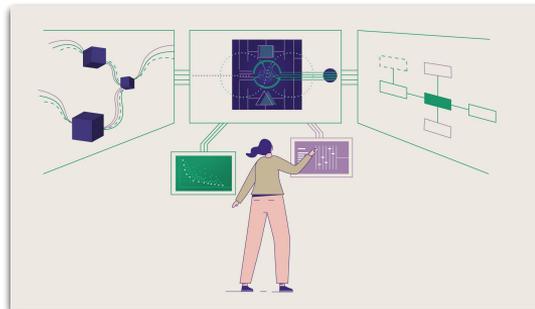
Hyperparameter Optimization



Neural Architecture Search

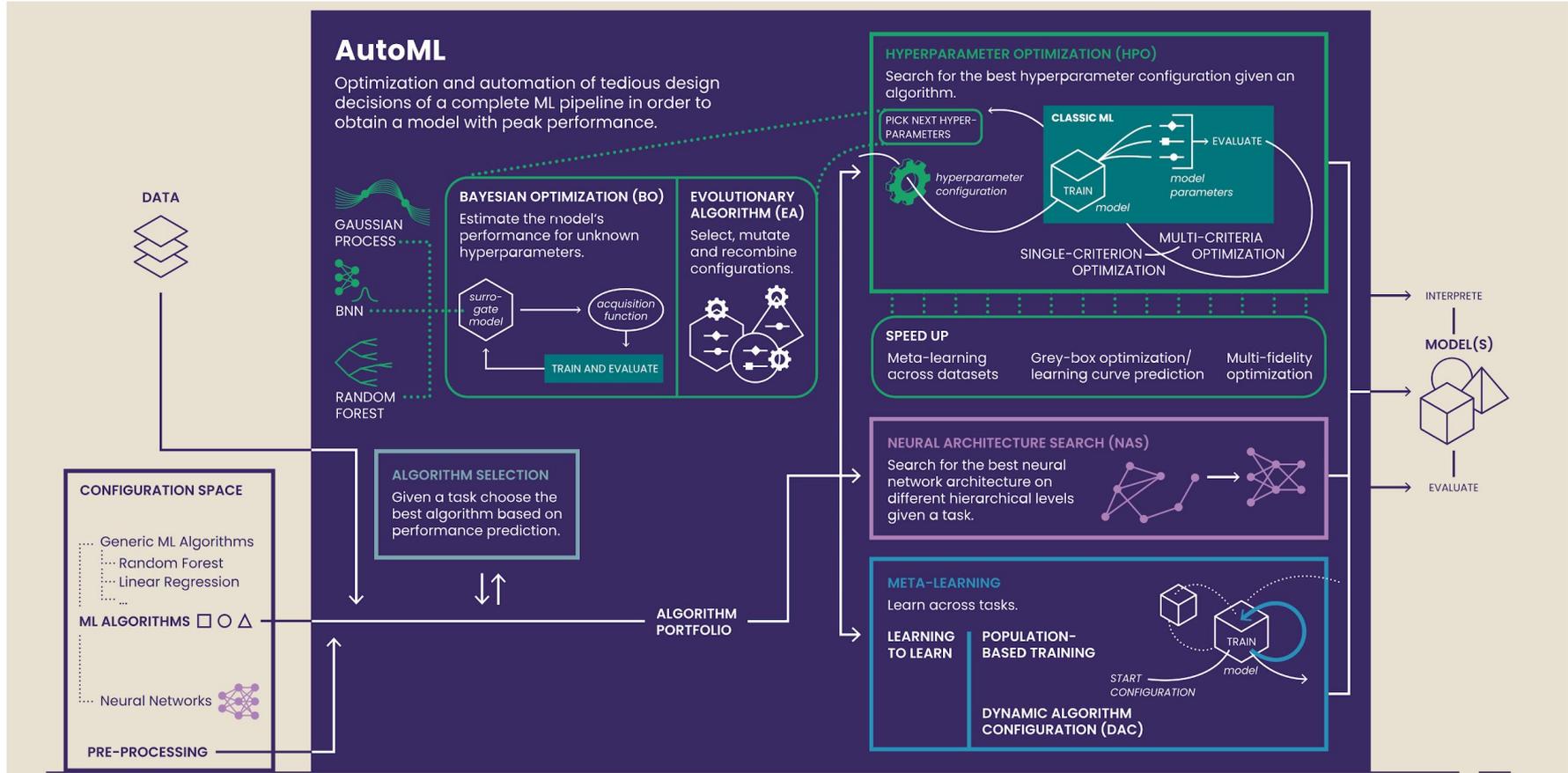


Meta Learning



Pipeline Design

Bildquelle: Lernplattform KI-Campus & AutoML.org, Lizenz: CC BY-SA 4.0



# Advantages

## AutoML enables



More **efficient** research and development of ML applications

→ AutoML has been shown to outperform humans on subproblems



More **systematic** research and development of ML applications

→ no (human) bias or unsystematic evaluation



More **reproducible** research

→ since it is systematic!



**Broader use** of ML methods

→ less required ML expert knowledge

→ better results with higher predictive performance

→ not only limited to computer scientists

# The hottest temperatures



CLIMATE | CANADA

## Canadian wildfires fueled by climate change, study shows

Stuart Braun  
08/22/2023

The record extreme fires in Quebec, Canada this summer were twice as likely to happen and burned more intensely due to human-caused global heating, say researchers.



### The EU Day for the Victims of the Global Climate Crisis

Executive Vice President Frans Timmermans signed a joint declaration on September 13, 2023, for the Day for the Victims of the Global Climate Crisis.

CLIMATE

## Climate worldwide

September 13, 2023



# healthy air

**Sustainability is also our responsibility!**



Photos ▶

Muhammad Amdad Hossain/ZUMA Wire/IMAGO

tal cities in the world. Many people moved large parts of Chittagong under water for...  
al Islam Montu reports

# Current Focus of AutoML Research



## **Better predictive performance** (e.g., accuracy)

⇒ other quality indicators (e.g., energy efficiency) are often ignored



## **Scaling to larger models** (e.g., LLMs)

⇒ AutoML needs to be more efficient

(e.g., via multi-fidelity optimization or expert knowledge integration)

⇒ Mindset less on energy efficiency but to apply AutoML to ever larger models  
(each of training of them requires more and more energy)



## **Adaption to different hardware** constraints

(e.g., embedded systems, smartphones)

⇒ Main objective: How can I get the best out of an AI on a given hardware module?

⇒ Rarely: How can I achieve the best with the fewest possible resources?

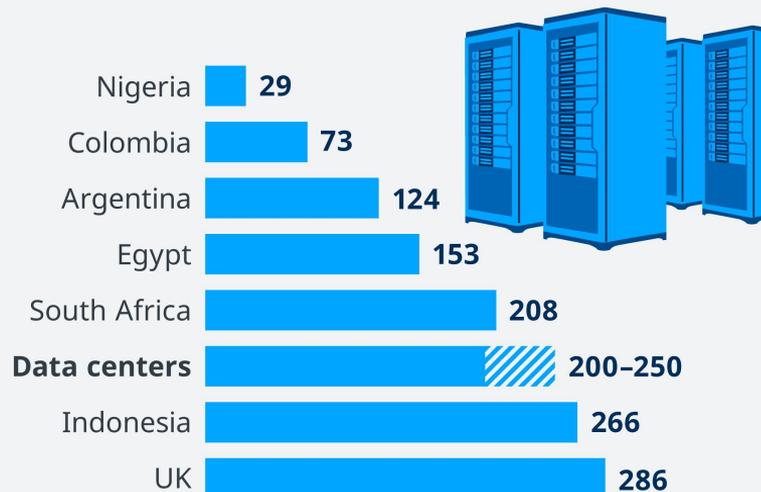
# Energy Consumption of AutoML can be Huge

- Neural Architecture Search with Reinforcement Learning [[Zoph et al. 2016](#)]
  - 800 GPUs for 28 days
  - 250W TDP
  - 134.4 MWh
  - Yearly consumption of 30x 4-persons households
  - 483,840\$ on a commercial cluster
- *Disclaimer:* Neural architecture search is more efficient than orders of magnitude by now. [[White et al. 2023](#)]
- However... some AutoML methods are still super expensive:
  - For AutoML-Zero, [Real et al. \(2020\)](#) trained  $10^{12}$  deep neural networks

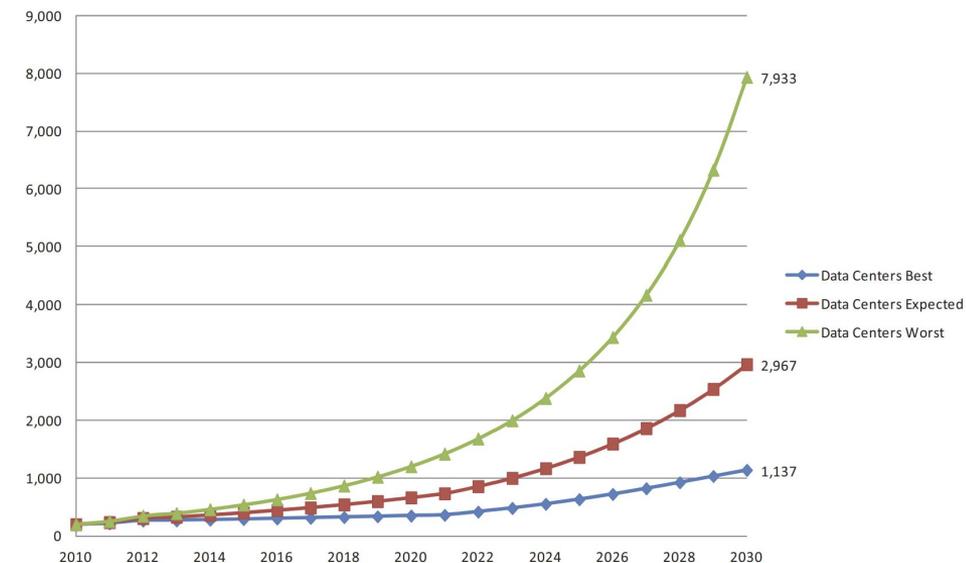
# Energy Consumption of Data Centers

## Data centers use more electricity than entire countries

Domestic electricity consumption of selected countries vs. data centers in 2020 in TWh

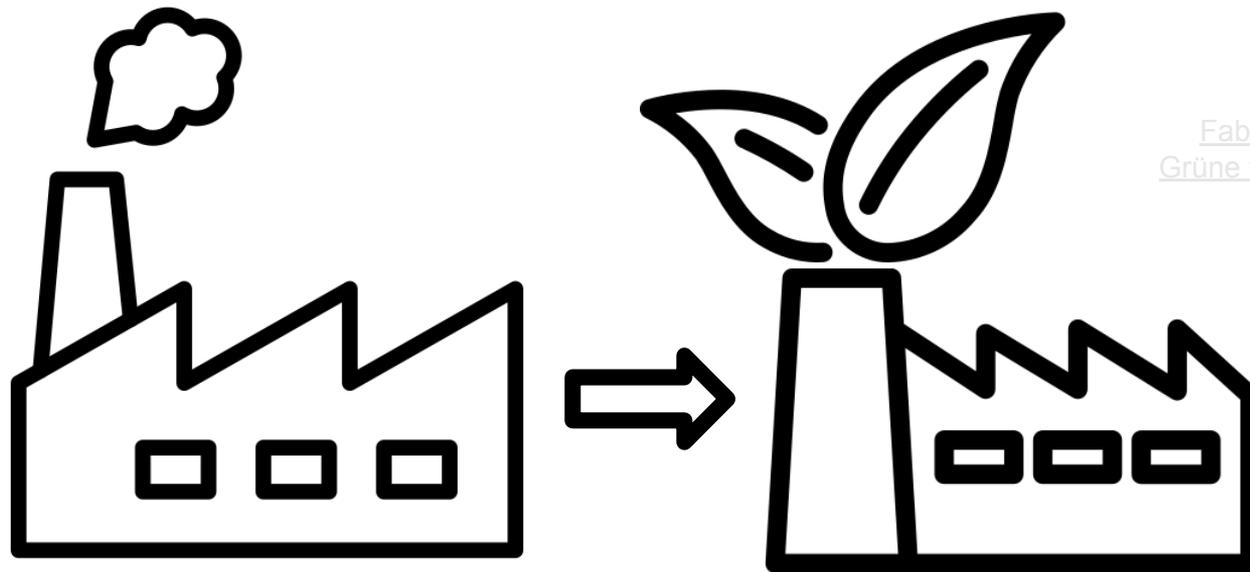


### Electricity usage (TWh) of Data Centers 2010-2030



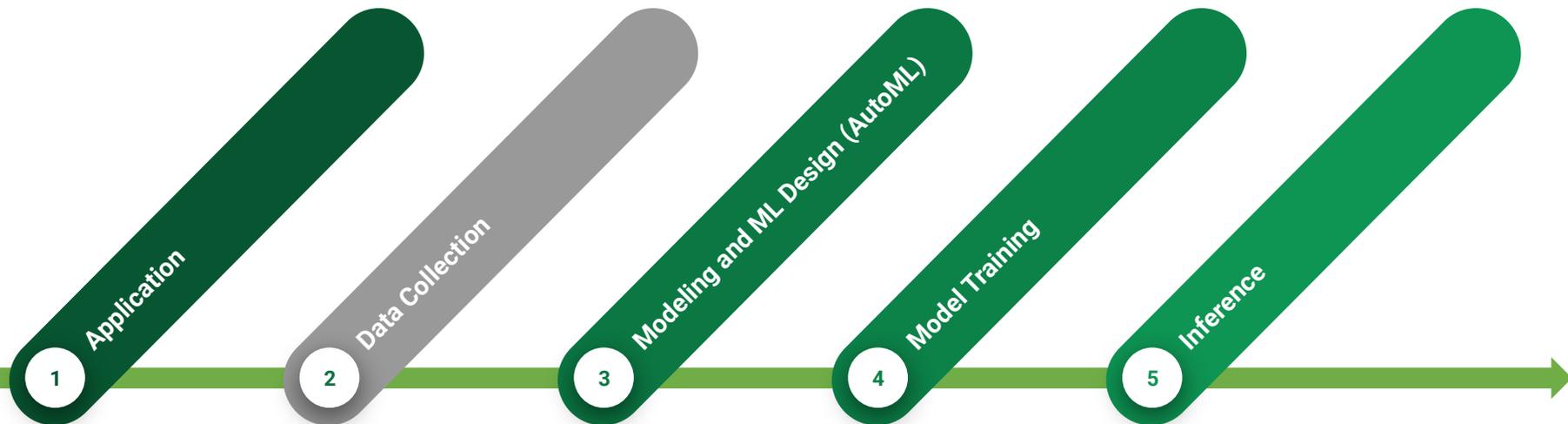
[Andrae, 2015]

Fabrik Icons by DinosoftLabs  
Grüne fabrik Icons by kosonicon

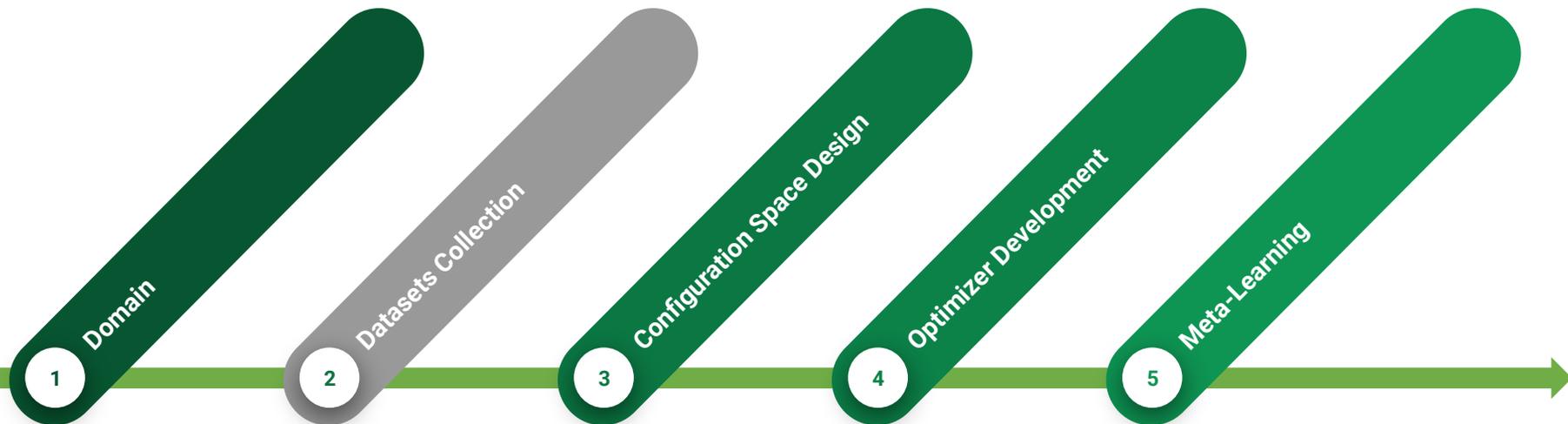


# Green AutoML?

# ML development



**All of that requires compute power and consumes resources / produces CO<sub>2</sub>e.**

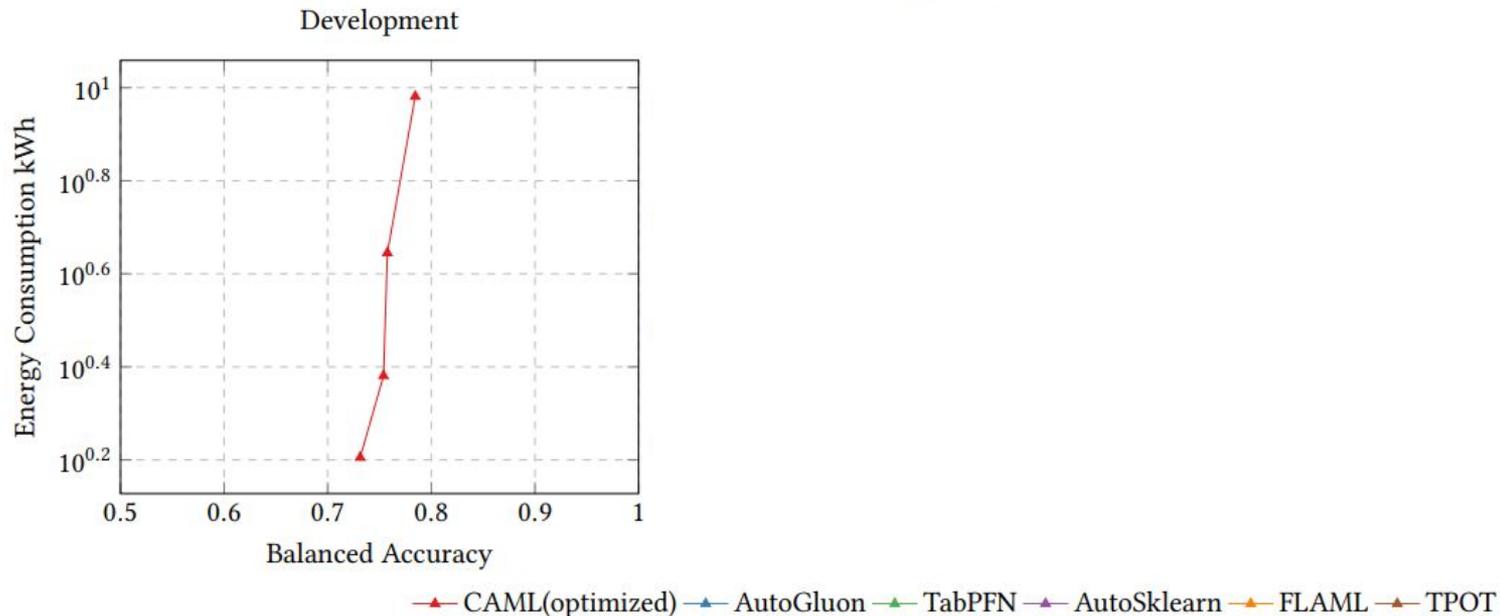


**All of that requires compute power and consumes resources / produces CO<sub>2</sub>e.**

**⇒ Diminishing effects since we develop AutoML for many applications and not only for one. Nevertheless, not negligible.**

# Energy-Consumption of AutoML Tools

[Neutatz et al. 2023 – WIP]



# Meta-Learning AutoML Settings

- AutoML is sensitive to its own algorithmic meta-parameters
- Our prior work for making AutoML more efficient by meta-learning:
  - [[Lindauer et al. 2018](#)] studied the impact of the parameters of Bayesian Optimization for different HPO tasks (by using algorithm configuration)
  - [[Feurer et al. 2022](#)] meta-learned validation strategies and warmstartig portfolios for different datasets
  - [[Neutatz et al. 2023](#)] meta-learn the configuration space, validation strategy, ensembling and incremental training for different datasets and application-constraints
- **Assumption:** If we invest more time into the development of AutoML packages (incl. meta-learning), we save a lot of compute resources later on while using it

# AutoML in Heavily Constrained Applications

[Neutatz et al. 2023]



## Default AutoML Configuration

Validation Strategy: Holdout 66/33  
 Ensembling: yes  
 Incremental Training: yes  
 Validation split reshuffle: no

ML Hyperparameter space:  
 SVM: Yes  
     SVM\_tol: Yes  
     SVM\_C: Yes  
 Extra Trees: Yes  
 KNN: Yes  
 Multilayer Perceptron: Yes  
 Any Feature Preprocessor: Yes  
 302 hyperparameters .... Yes

## Dynamic AutoML Configuration

Validation Strategy: Holdout 46/54  
 Ensembling: no  
 Incremental Training: yes  
 Validation split reshuffle: yes

ML Hyperparameter space:  
 SVM: Yes  
     SVM\_tol: Yes  
     SVM\_C: No  
 Extra Trees: Yes  
 KNN: No  
 Multilayer Perceptron: No  
 Any Feature Preprocessor: No  
 302 hyperparameters .... Yes/No

## ML Pipeline

For SVM, the **model parameters** are the weights  $w$ :

$$\left[ \frac{1}{n} \sum_{i=1}^n \max(0, 1 - y_i (\mathbf{w}^T \mathbf{x}_i - b)) \right] + \lambda \|\mathbf{w}\|^2.$$

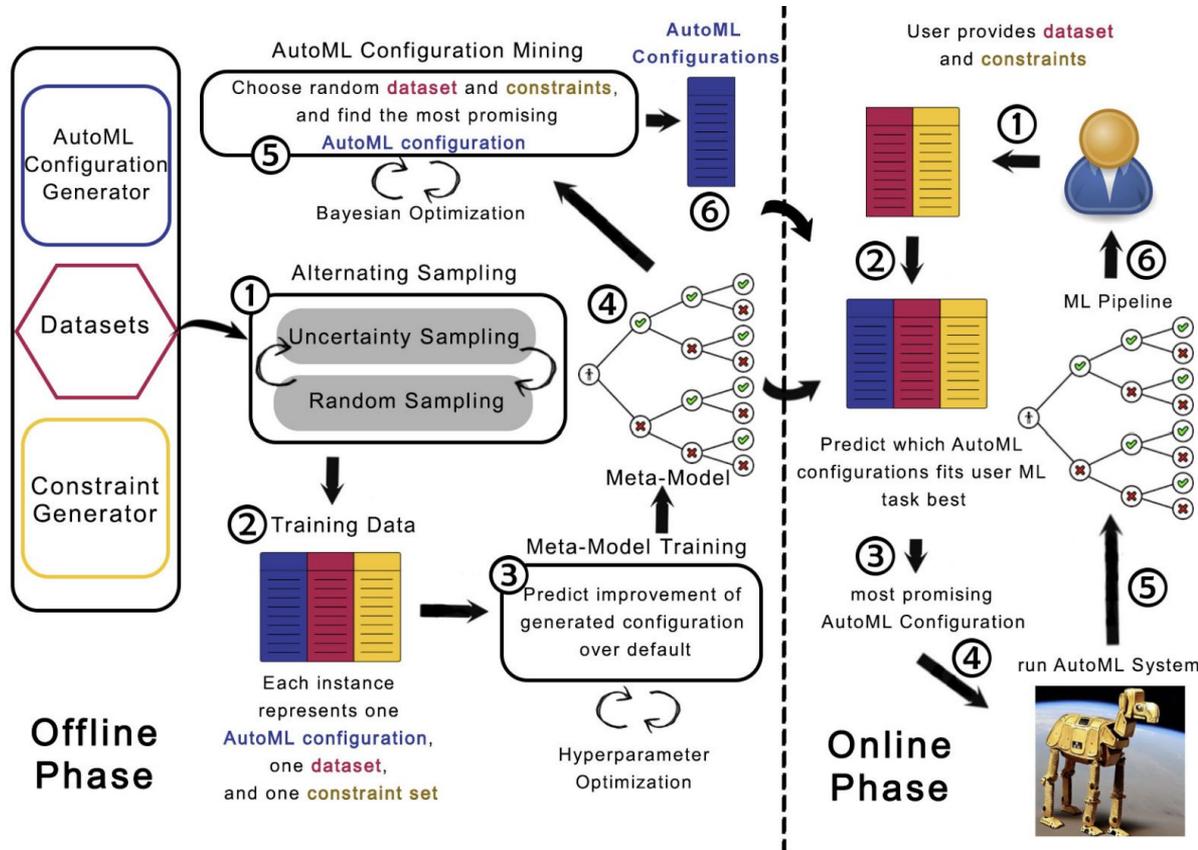
ML Hyperparameters:  
 SVM: Yes  
     SVM\_tol: 1e-5  
     SVM\_C: 1.0 (default)  
 Extra Trees: No  
 KNN: No  
 Multilayer Perceptron: No  
 Any Feature Preprocessor: No  
 302 hyperparameters .... ...

Adapt AutoML parameters to ML task and deactivate undesired ML hyperparameters

Searches for the optimal ML pipeline in the defined search space. A pipeline is defined by the selected ML hyperparameters.

# AutoML in Heavily Constrained Applications

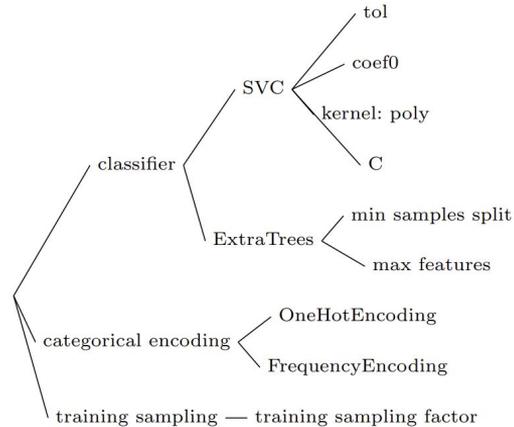
[Neutatz et al. 2023]



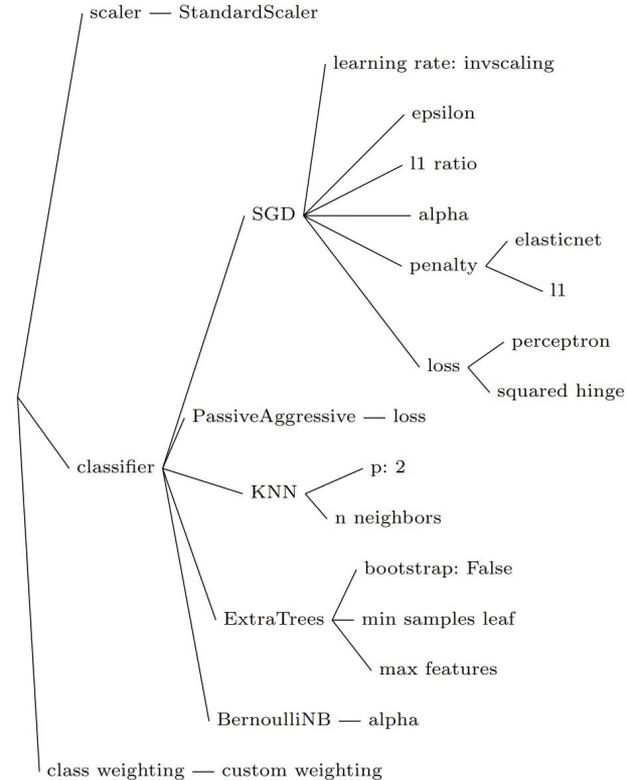
Possible application constraints:

- AutoML budget
- Inference time
- Memory consumption
- Energy consumption
- Fairness thresholds
- ...

# Can it learn to select different configuration spaces? [\[Neutatz et al. 2023\]](#)



(a) Christine (1min search time)

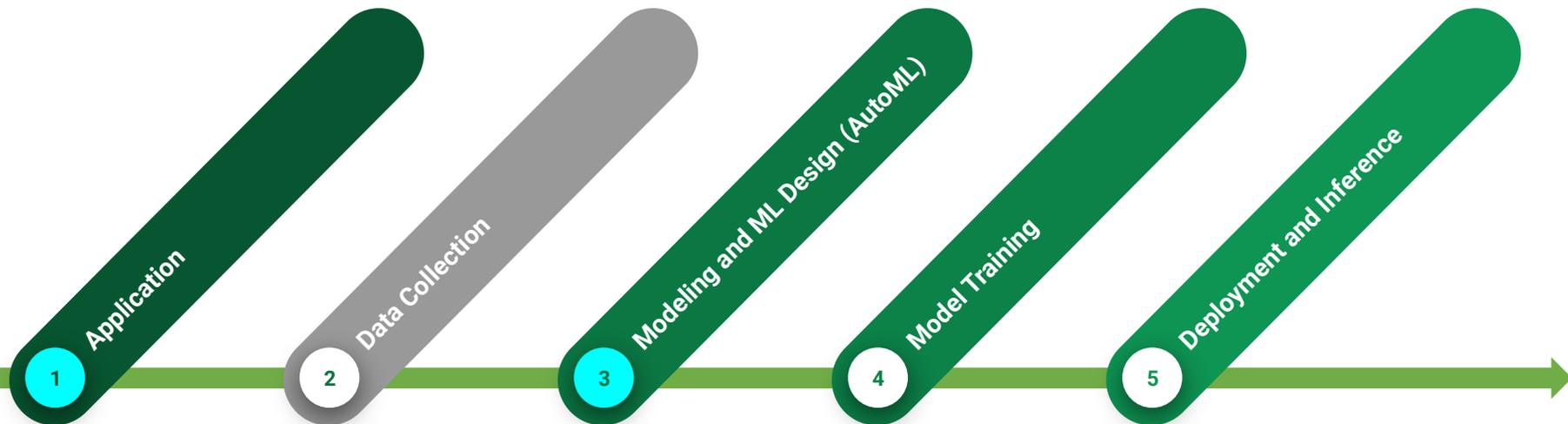


(b) Robert (5min search time)

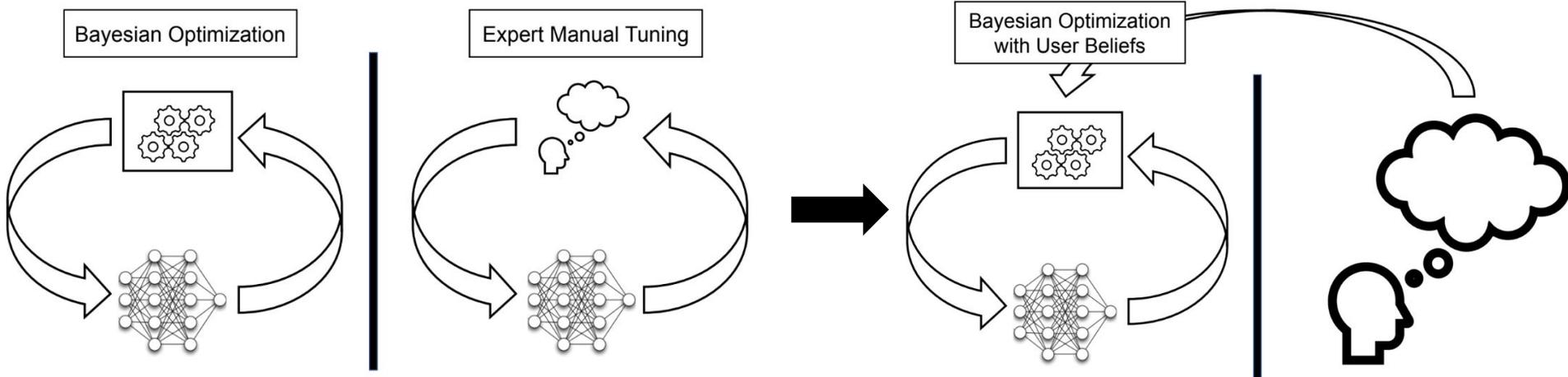
# Take-Aways for Meta-Learning AutoML Conf.



- **Assumption:** If we invest more time into the development of AutoML packages (incl. meta-learning), we save a lot of compute resources for using it
- **Positive** take-away:  
*Yes, we can meta-learn how to configure AutoML systems and achieve new state-of-the-art performance*
- **Negative** take-away:  
*We cannot easily do it for large AutoML budgets (beyond 10min) without enormous compute resources*
- **Future challenge:** How to configure AutoML on expensive tasks;  
“Expensive” can mean:
  - very expensive ML models (e.g., LLMs)
  - very complex configuration spaces with thousands of ML trainings



# Making Use of Human Expertise



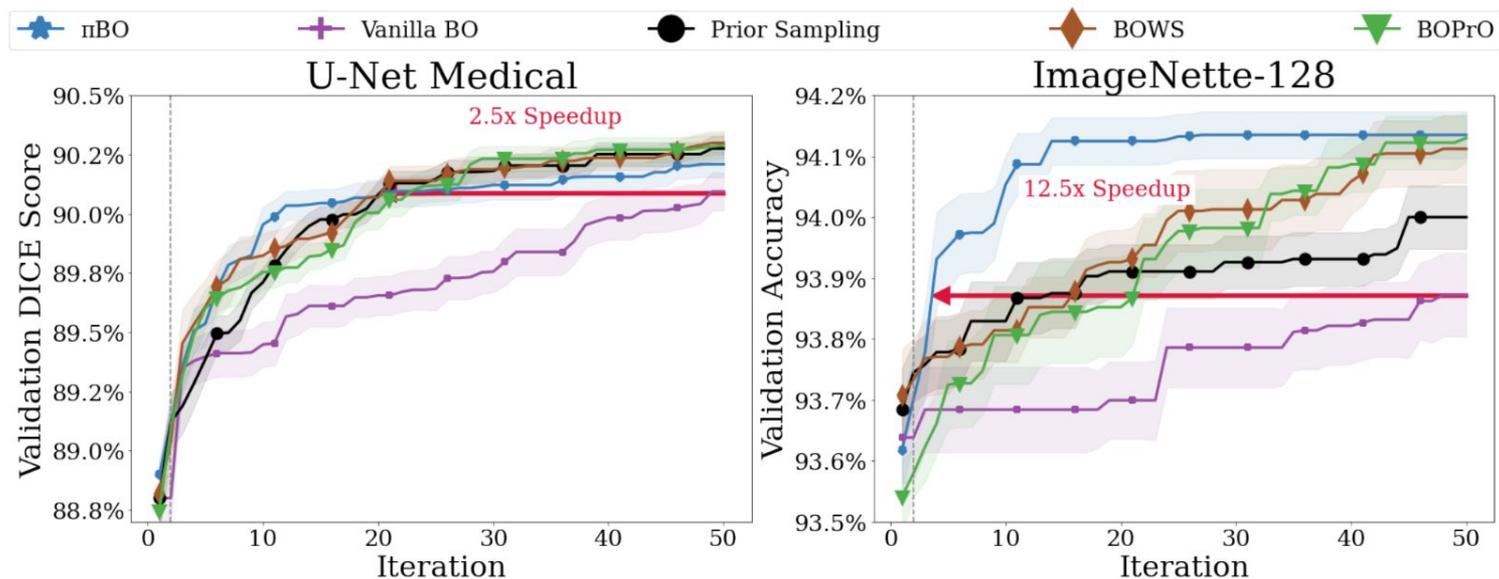
- Bayesian approach based on Gaussian Processes [Souza et al. ECML 2020]

$$\mathcal{M}_g(\mathbf{x}) = p(f(\mathbf{x}) < f_\gamma | \mathbf{x}, \mathcal{D}_t) = \Phi\left(\frac{f_\gamma - \mu_{\mathbf{x}}}{\sigma_{\mathbf{x}}}\right),$$

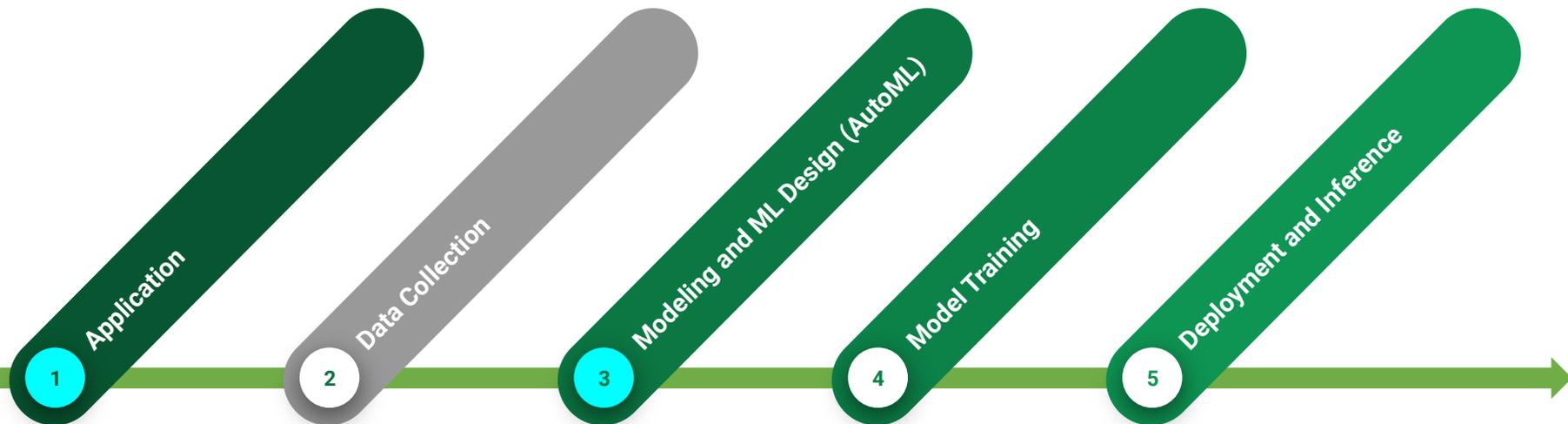
- Practical, model-agnostic approach [Hvarfner et al. ICLR'22]

$$\mathbf{x}_n \in \arg \max_{\mathbf{x} \in \mathcal{X}} \alpha(\mathbf{x}, \mathcal{D}_n) \pi(\mathbf{x})^{\beta/n}$$

- Expert priors and efficient multi-fidelity optimization [Malik et al. MetaLearn'22, NeurIPS'23]



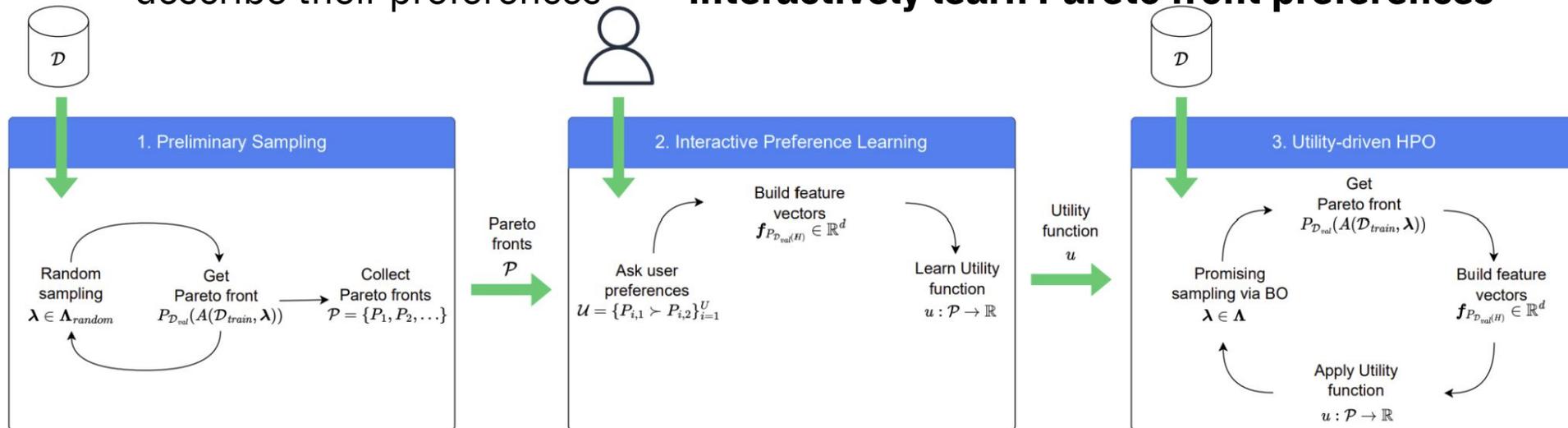
- Uses expert knowledge to speed up Bayesian Optimization
- Robust also against wrong believes
- Substantially speeds up AutoML



# Interactive HPO in Multi-Objective Problems via Preference Learning [Giovannelli et al. 2023]



- Multi-objective (Auto)ML gets more and more important
  - e.g., hardware-aware NAS, fairness-aware AutoML or energy-efficient AutoML
- Practical challenge:** Different multi-objective indicators lead to different approximated Pareto fronts and users cannot always mathematically describe their preferences  $\Rightarrow$  **interactively learn Pareto front preferences**



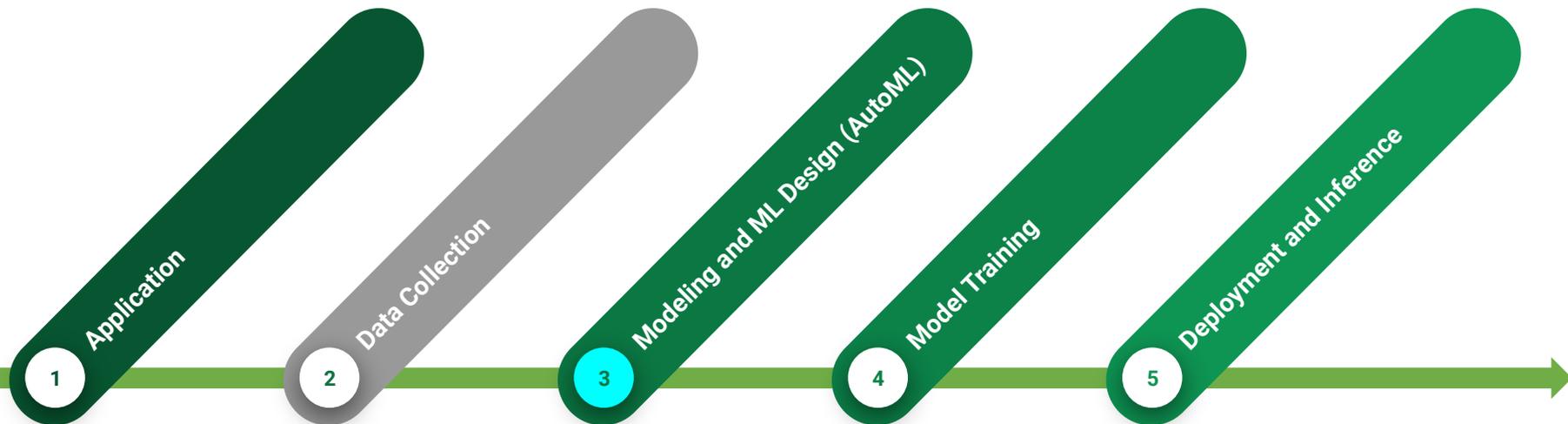
# Evaluation of Preference-Learned Indicators

[[Giovannelli et al. 2023](#)]



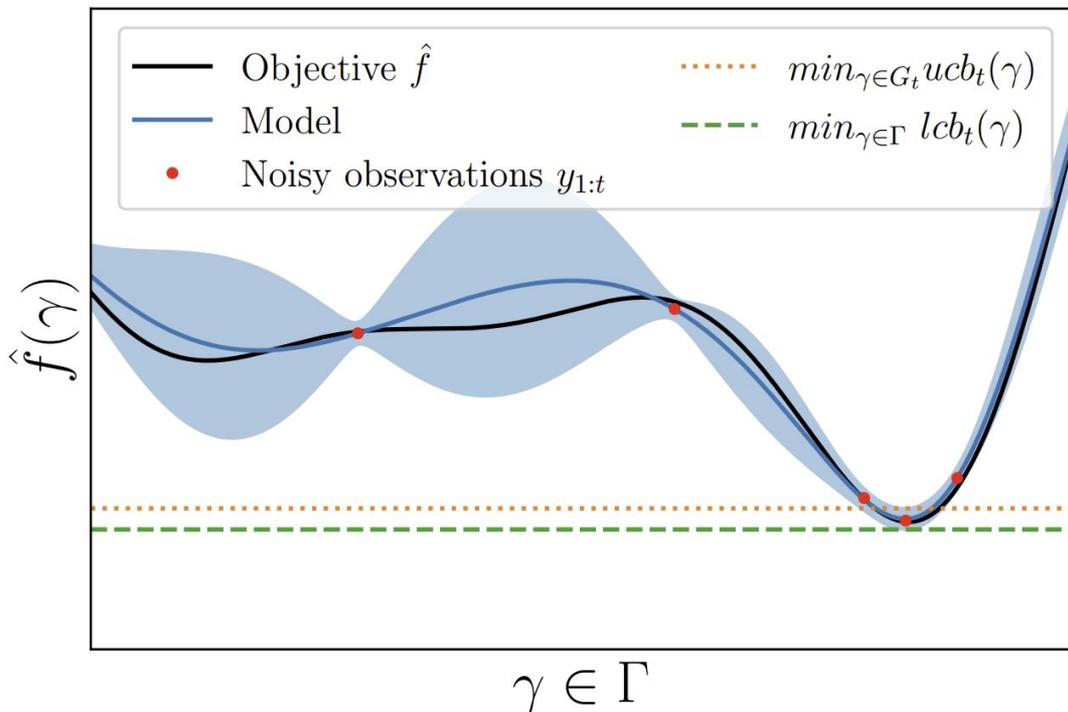
- Benchmark:
  - LCBench
  - Accuracy vs. Energy-Consumption
- Let's assume : User randomly chose a multi-objective (MO) indicator, but was actually hoping for the behavior of another MO indicator
- ⇒ learned preferences are better than randomly choosing a MO indicator

PB\IB	$HV \uparrow$		$SP \downarrow$		$MS \uparrow$		$R2 \downarrow$	
$HV \uparrow$	0.76 (±0.17)	<b>0.77</b> (±0.17)	<b>0.76</b> (±0.17)	0.52 (±0.24)	<b>0.76</b> (±0.17)	0.52 (±0.21)	0.76 (±0.17)	<b>0.77</b> (±0.16)
$SP \downarrow$	<b>0.01</b> (±0.01)	0.03 (±0.02)	<b>0.01</b> (±0.01)	<b>0.01</b> (±0.0)	<b>0.01</b> (±0.01)	0.04 (±0.03)	<b>0.01</b> (±0.01)	0.04 (±0.02)
$MS \uparrow$	<b>0.61</b> (±0.09)	0.19 (±0.08)	<b>0.61</b> (±0.09)	0.19 (±0.12)	0.61 (±0.09)	<b>0.65</b> (±0.06)	<b>0.61</b> (±0.09)	0.23 (±0.11)
$R2 \downarrow$	0.23 (±0.16)	<b>0.22</b> (±0.16)	<b>0.23</b> (±0.16)	0.47 (±0.23)	<b>0.23</b> (±0.16)	0.45 (±0.21)	0.23 (±0.16)	<b>0.21</b> (±0.16)



# Automatic Termination of Bayesian Optimization for Hyperparameter Optimization

[Markarova et al. 2022]



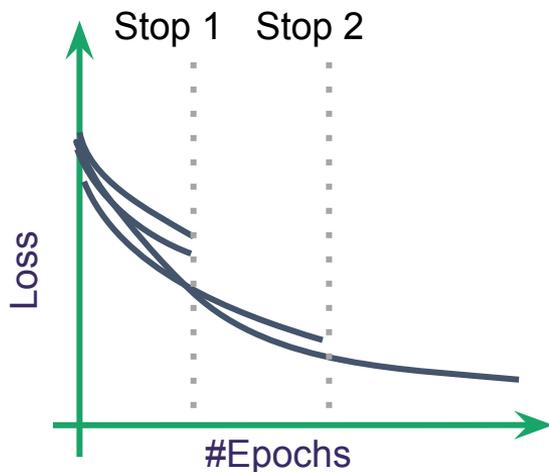
- **Motivation:** Stop HPO if there is likely nothing to gain anymore  
  
 $\Rightarrow$  *potentially saves a lot of compute resources and energy*
- **Termination of BO:**  
If the uncertainty on the incumbent loss is larger than BO's uncertainty, terminate HPO  
  
 $\Rightarrow$  **Our extension:** How to adapt to state-of-the-art multi-fidelity optimization?

# Automatic Termination of Multi-fidelity HPO

[Graf et al. 2023 - WIP]



Several design options  
for automatic termination:

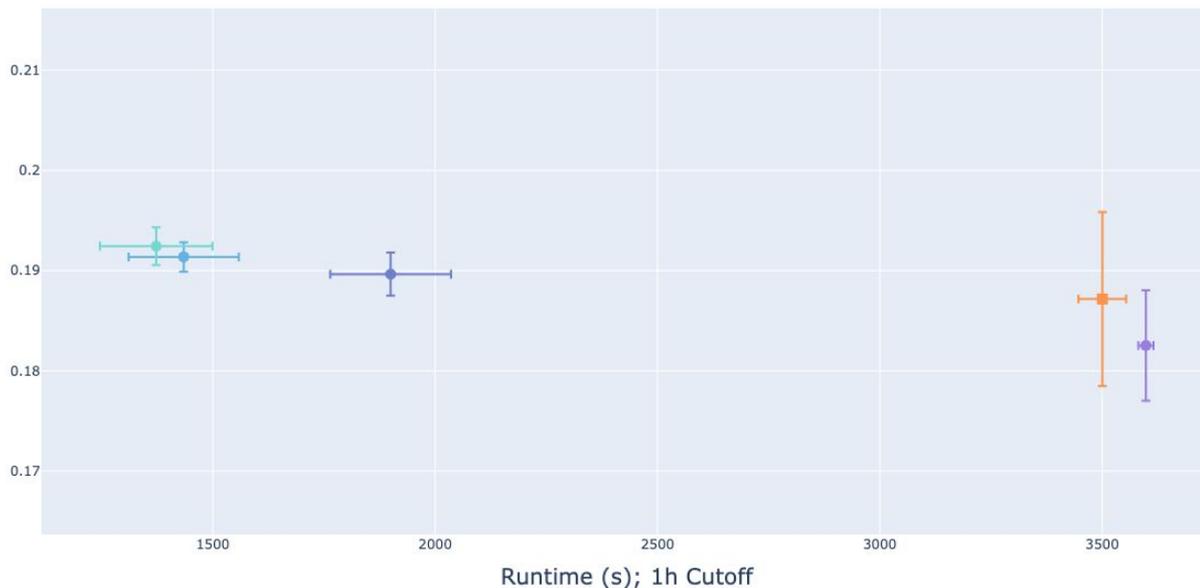


1. Terminate fidelities sequentially or independently?
2. Terminate overall if highest fidelity or all fidelities are terminated?
3. Terminate all lower fidelities if a higher fidelity was terminated?
4. Terminate a fidelity for all subsequent HB runs?
5. Adapt the search space for higher fidelities if lower fidelity was terminated?

# Preliminary Results

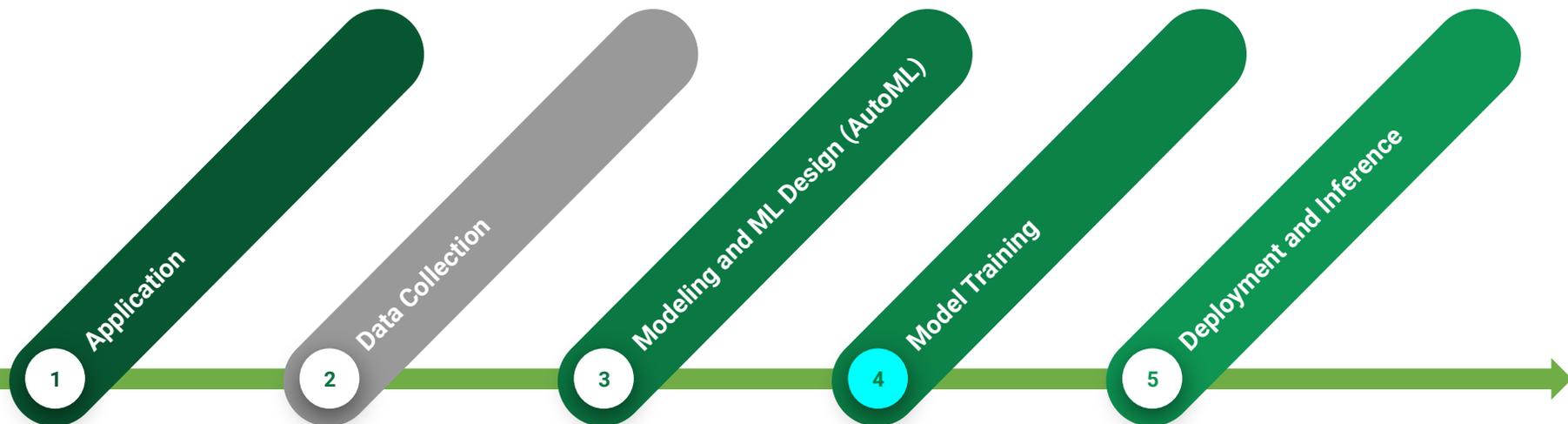
[Graf et al. 2023 - WIP]

## Random Forest on OpenML-CC18



- no rm
- HB+BO
- inc
- single
- casc

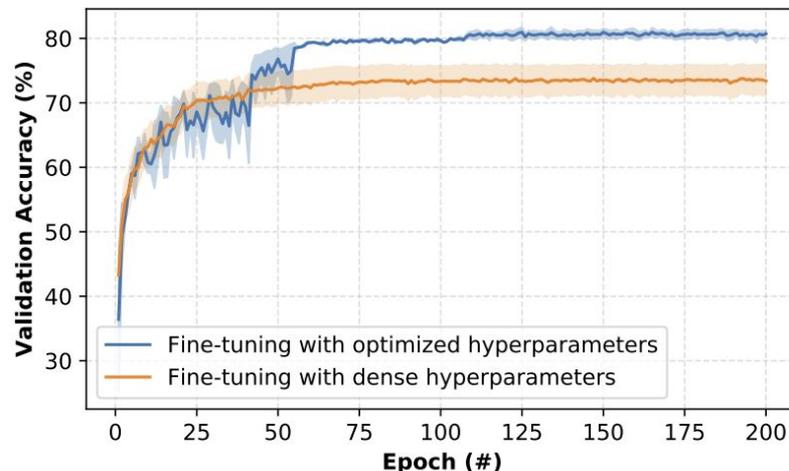
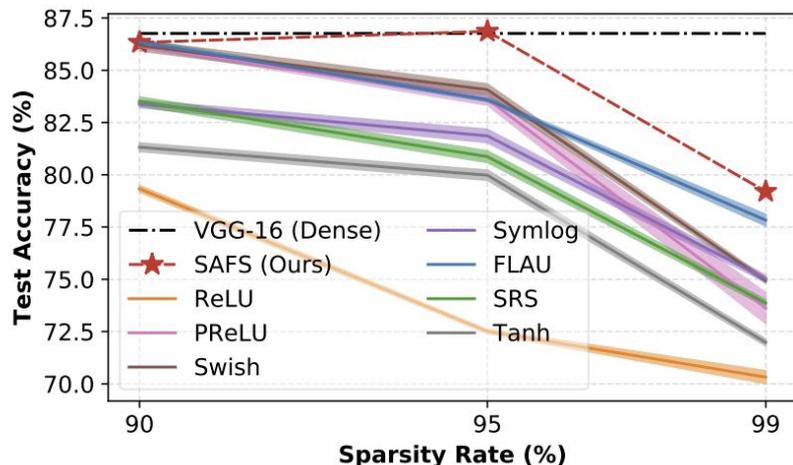
- **HB+BO**: BOHB [[Falkner et al. 2018](#)]
- **no rm**: fidelities are terminated once and not permanently
- **inc**: incremental termination of fidelities
- **single**: independent termination of fidelities
- **casc**: lower fidelities will also be removed



# Learning Activation Functions for Sparse Neural Networks [Loni et al. 2023]



- Sparsifying networks can help to save a lot of compute power
- Insights:**
  - Using the same activation function class as for the dense network is suboptimal for pruning
  - Hyperparameters have to be adapted accordingly

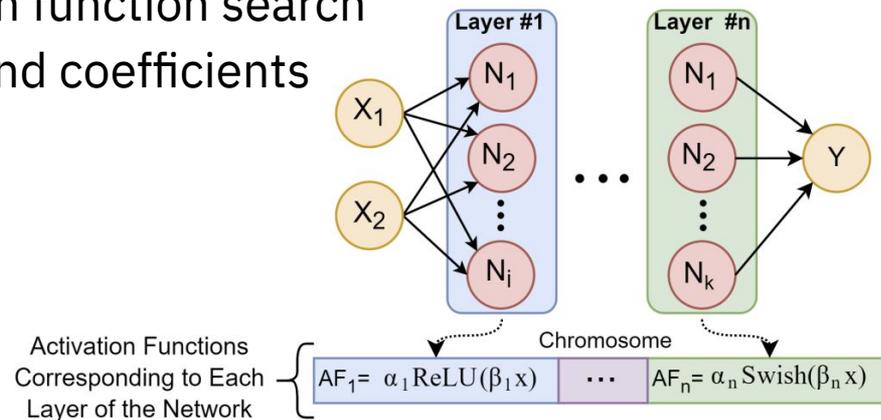
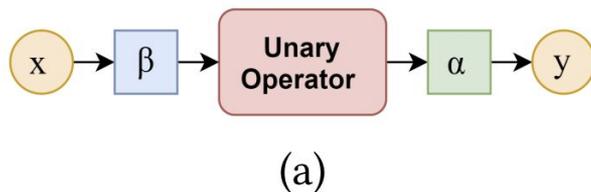


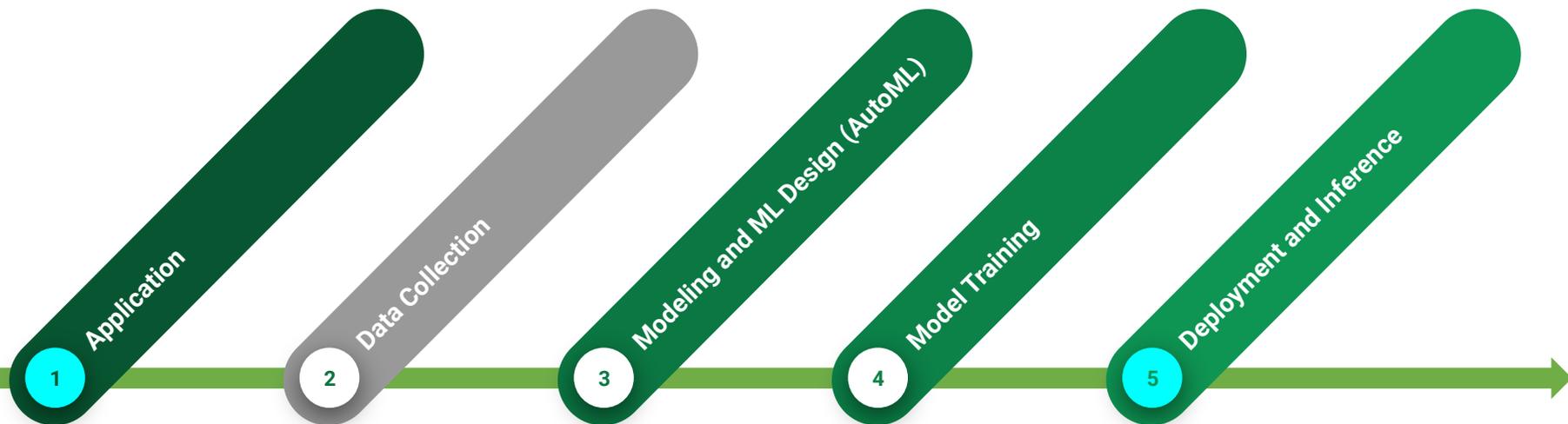
# Learning Activation Functions for Sparse Neural Networks [\[Loni et al. 2023\]](#)



## Take-Aways:

- Search for activation functions for the pruning process
- Activation functions should even differ for different layers
  - Symlog and Acon in early layers
  - Swish in middle layers
- Stage 1: Use EA (LAHC) for activation function search
- Stage 2: Apply SGD-based HPO to find coefficients





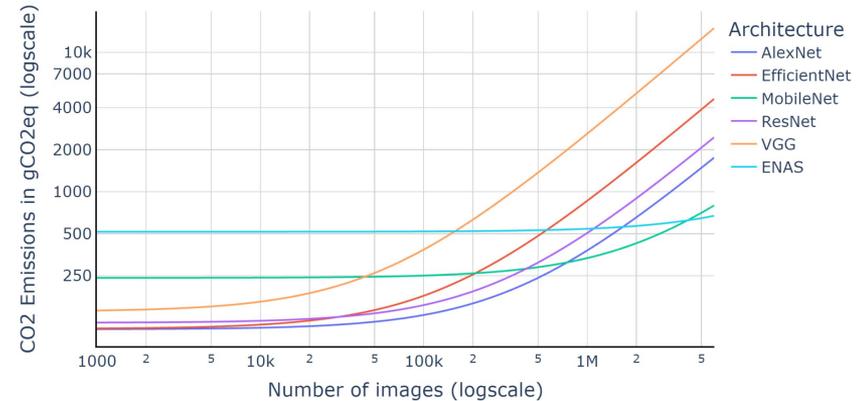
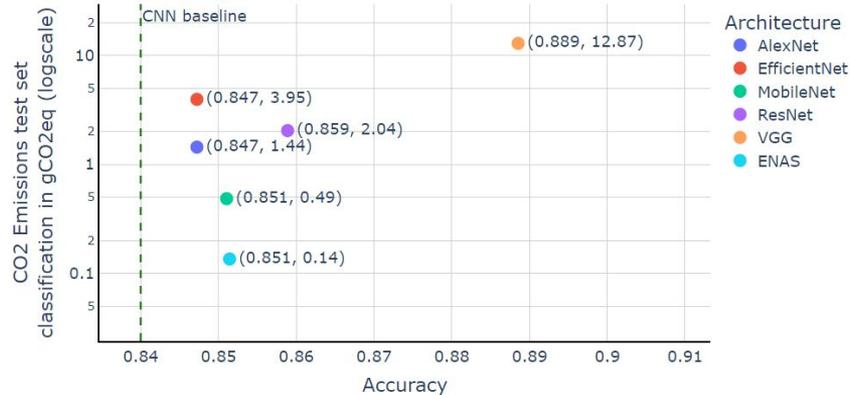
# Green AutoML for Plastic Litter Detection

[Theodorakopoulos et al. 2023]



## Insights:

1. Architecture of DNNs with better accuracy
2. Architecture with lower CO<sub>2</sub> emissions
3. CO<sub>2</sub> emissions of AutoML training is compensated at inference



## Energy-efficient AutoML

Data compression,  
Zero-cost AutoML,  
multi-fidelity,  
intelligent stopping, ...



## Searching for Energy-Efficient Models

Model size constraint,  
Energy-aware objective functions,  
Energy efficient architectures,  
Model compression, ...

## AutoML for Sustainability

Plastic Litter Detection,  
Green Assisted Driving,  
Predictive Maintenance, ...

## Create Attention

Tracking emissions,  
awareness among students,  
researchers, industry partners, ...



# Challenges Beyond AutoML

1. How to measure the energy consumption of AI correctly?
2. How to translate energy consumption to CO<sub>2</sub>e?
3. How to account for the data collection?
4. How to estimate the long term use after model deployment?
5. How to assess the benefits of foundational research?

***All resources are finite and we have to be act responsibly.***

# Find Us



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Data compression,  
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